

FORM PTO-1449 (Modified)

LIST OF INFORMATION PROVIDED
BY APPLICANT

(Use several sheets if necessary)

ATTY. DOCKET NO.
SwRI-3048-02APPLICATION NUMBER
10/795,889APPLICANT
WAYNICKFILING DATE
03/08/2004GROUP ART UNIT
1714

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Patentee	Class	Subclass	Filing Date if Appropriate
CDT	AA	7,018,434	03/28/06	WAYNICK			
	AB	2004/0249233 A1	12/09/2004	WAYNICK			
	AC	2004/0244280 A1	09/08/2005	WAYNICK			
	AD	5,449,732	12/12/1995	SMITH, et al.			
	AE	2003/0019149 A1	01/30/2003	WAYNICK			
	AF	6,599,337	07/29/2003	WAYNICK			
CDT	AG	2004/0015034 A1	01/22/2004	WAYNICK			

REFERENCE DESIGNATION

FOREIGN PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Applicant	Class	Subclass	Translation	
							Yes	No
CDT	AH	WO 02/086030 A1	10/31/2002	Southwest Research Institute				
	AI	WO 04/108862 A1	12/16/2004	Southwest Research Institute				
	AJ	WO 05/087902 A1	09/22/2005	Southwest Research Institute				
CDT	AK	WO 05/086803 A2	09/22/05	Southwest Research Institute				
	AL							

OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)

CDT	AM	The International Bureau of WIPO, International Preliminary Report on Patentability, PCT/US2005/007545, 09-21-2006, 5 pgs.
	AN	U.S. Commissioner of Patents and Trademarks, International Preliminary Examination Report, PCT/US02/12302, 03-10-2003, 4 pgs.
	AO	U.S. Commissioner of Patents and Trademarks, International Search Report and Written Opinion, PCT/US05/07572, 09-29-2006, 6 pgs.
CDT	AP	U.S. Commissioner of Patents and Trademarks, International Search Report and Written Opinion, PCT/US05/07542, 10-02-2006, 6 pgs.
	AQ	

EXAMINER

DATE CONSIDERED

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- The attached cited information should not be construed as an admission that any of the above items are prior art to the subject invention.
- This is not a representation that a search has been made.



PTO/SB/08A (10-01)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Complete if Known	
		Application Number	10/795.889
Sheet	1	of	3
		Filing Date	03/08/2004
		First Named Inventor	WAYNICK
		Art Unit	Not Yet Assigned
		Examiner Name	Not Yet Assigned
		Attorney Docket Number	SwRI-3048-02

U.S. PATENT DOCUMENTS					
Examiner Initials ¹	Cite No. ¹	Document Number Number - Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
CDT		US- 1.198.039	09-12-1916	KRAUSE	
		US- 2.546.903	03-27-1951	MORRELL	
		US- 2.744.938	05-08-1956	URBAN, JR.	
		US- 2.762.852	09-11-1956	LITTON	
		US- 3.366.582	01-30-1968	ADAMS, et al.	
		US- 3.977.969	08-31-1976	ZALL	
		US- 4.451.377	05-29-1984	LUXEMBURG	
		US- 4.502.957	03-05-1985	JEHLE, et al.	
		US- 4.508.851	04-02-1985	IZUMI, et al.	
		US- 4.527.581	07-09-1985	MOTIER	
		US- 4.599.117	07-08-1986	LUXEMBURG	
		US- 4.758.354	07-19-1988	O'MARA, et al.	
		US- 5.165.440	11-24-1992	JOHNSTON	
		US- 5.244.937	09-14-1993	LEE, et al.	
		US- 5.225.081	07-06-1993	BROWNAWELL	
		US- 5.376.697	12-27-1994	JOHNSTON, et al.	
		US- 5.504.132	04-02-1996	SMITH, et al.	
		US- 5.539.044	07-23-1996	DINDI, et al.	
CDT		US- 5.733.953	05-31-1998	FAIRCHILD, et al.	
		US- 5.736.053	04-07-1998	IKUSHIMA, et al.	

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. ¹	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³	-Number ⁴ - Kind Code ⁵ (if known)				
CDT			GB 1,236,066	06-16-1971	BRITISH PETROLEUM CO LTD		
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Examiner Signature		Date Considered	12/06
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¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 801.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Application Number	10/795.889
		Filing Date	03/08/2004
		First Named Inventor	WAYNICK
		Art Unit	Not Yet Assigned
		Examiner Name	Not Yet Assigned
Sheet	2	of	3
		Attorney Docket Number	SwRI-3048-02

U.S. PATENT DOCUMENTS					
Examiner Initials ¹	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
CDS		US- 5.788.865	08-04-1998	SMIRNOV, et al.	
		US- 5.833.862	11-10-1998	HOLLAND	
		US- 5.884.777	03-23-1999	PAN, et al.	
		US- 5.888.402	03-30-1999	HOMMELTOFT, et al.	
		US- 5.891.324	04-06-1999	OHTSUKA	
		US- 5.893.398	04-13-1999	GARRETT, JR.	
		US- 5.900.153	05-04-1999	SANFORD	
		US- 6.024.880	02-15-2000	CIORA, JR., et al.	
		US- 6.027.653	02-22-2000	HOLLAND	
		US- 6.042.722	03-28-2000	LENZ	
		US- 6.056.805	05-02-2000	LITWIN, et al.	
		US- 6.082.392	07-04-2000	WATKINS, JR.	
		US- 6.103.127	08-15-2000	POURFARZANEH	
		US- 4.747.855	05-31-1988	HIRAI, et al.	
		US- 4.837.249	06-06-1989	O'MARA, et al.	
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FOREIGN PATENT DOCUMENTS						
Examiner Initials ¹	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				

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Substitute for form 1449B/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 3 of 3

Complete if Known

Application Number	10/795,889
Filing Date	03/08/2004
First Named Inventor	WAYNICK
Group Art Unit	Not Yet Assigned
Examiner Name	Not Yet Assigned
Attorney Docket Number	SwRI-3048-02

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
CDT		NATALIE MARCHILDON, et al. The AA Graphite Deposit, Bella Coola Area, British Columbia: Exploration Implications For The Coast Plutonic Complex. (92M/15) Geological Fieldwork 1992, Paper 1993-1 pg. 389-397. http://www.em.gov.bc.ca/DL/GSBPubs/GeoFldWk/1992/389-398-marchildon.pdf	
CDT		EDWARD MATULEVICIUS. Fuel Technology Associates. Effect of Pipeline Drag Reducer Additive on Coalescence & Filtration in Aviation Fuels. A Plan for Determining the Effect of Fully Sheard pipeline Drag Reducer Additives on Filter/Separators and Monitors. 04/09/2001 http://www.crcao.com/aviation/Presentation%202001CRC%20Final%20PDR%20Plan.pdf	

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